IFW 2837



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Klaus LINHARD et al.

Confirmation No.: 5012

Appln. No.: 10/539,843

Filed: June 20, 2005

For: **SOUND PROJECTOR**

Art Unit: 2837

Attorney Docket No.: 3926.162

Customer No.: 30448

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited either by facsimile to 571-273-8300 or with the U.S. Postal Service as First Class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA

22313-1450 on August 28, 2006.

Yonghong Chen

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97 and §1.98

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the following documents for the above-identified application. Copies of any non-US documents set forth below and listed on the attached Form PTO/SB/08A, and copy of the International Search Report (ISR) issued in the corresponding PCT application are provided herewith.

- 1. English abstract of JP 03 296399 A
- 2. DE 15 37 609 A
- 3. US 4,823,908
- 4. WO 99/44757 A1 (in English)

Documents 1-4 were cited in the ISR. A concise explanation of relevancy for each

Application No: 10/539,843 Information Disclosure Statement

Attorney Docket No: 3926.162

non-English document is provided below:

Document 2

No English translation or abstract is readily available for Document 2. It is indicated in the ISR that page 1, paragraph 1 - page 3, last paragraph, claims 1-3, 6 and Figs. 1-4 of

Document 2 are "X" category relevant to claims 1-5, 15, and 20-21 and "A" category relevant to

claims 6-7 of the corresponding PCT application of the instant application.

Since the present Information Disclosure Statement is being filed before the mailing date

of the first Office Action, no statement under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p)

is required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Applicant respectfully requests that the listed documents be considered by the Examiner

and be made of record in the present application and that an initialed copy of Form PTO/SB/08A

be returned in accordance with MPEP §609.

Date: August 28, 2006

Respectfully submitted,

Yonghong Chen (Registration No. 56,150)

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{WP329884;1}

PTO/SB/08A (07-05) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

<u>of</u> 1

| Complete if Known | | | | |
|------------------------|---------------|--|--|--|
| Application Number | 10/539,843 | | | |
| Filing Date | June 20, 2005 | | | |
| First Named Inventor | Klaus LINHARD | | | |
| Art Unit | 2837 | | | |
| Examiner Name | | | | |
| Attorney Docket Number | 3926.162 | | | |

| | | | U. S. PATEN | T DOCUMENTS | |
|--------------------|--------------|----------------------------------------------------------|-----------------------------|----------------------------------------------------|---------------------------------------------------------------------------|
| Examiner Initials* | Cite No.1 | Document Number Number-Kind Code ^{2 (# known)} | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| ···- | <u> </u> | Number-Kind Code | 04.05.4000 | Tamalaa at al | |
| | | ^{US-} 4,823,908 | 04-25-1989 | Tanaka et al. | · |
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| Examiner Initials* | Cite No.1 | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages | |
|--------------------|--------------|---------------------------------------------------------------------------------|------------------|----------------------------------------------------|---------------------------------------------------|----|
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | MM-DD-YYYY | | Or Relevant Figures Appear | To |
| | | JP 03 296399 A | 12-27-1991 | MATSUSHITA ELECTRIC WORKS LTD. | | |
| | | DE 15 37 609 A | 01-22-1970 | Hauser, Guenther | | |
| | _ | WO 99/44757 A1 | 09-10-1999 | SENSOTECH LTD. | | |
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| Examiner Date Date | |
| Signature Considered | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.